SEC Form 4
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## FORM 4

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UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

OMB APPROVAL

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Section 16. Form 4 or Form 5 obligations may continue. See									11	Estimated av	0	len 0.5	
Instruction 1(	b).		Filed		nt to Section 16(a) ction 30(h) of the In		es Exchange Act of 1934 npany Act of 1940						
1. Name and Address of Reporting Person <sup>*</sup> <u>Phillipson Dawn</u>				2. Issuer Name <b>and</b> Ticker or Trading Symbol <u>Arhaus, Inc.</u> [ ARHS ] -					ationship of Rep all applicable) Director Officer (give below)	10% Owner		Owner (specify	
(Last) C/O ARHAUS 51 E. HINES	·	(Middle)		3. Date of Earliest Transaction (Month/Day/Year) 03/10/2023					Chief Financial Officer				
(Street) BOSTON OH 44236 HEIGHTS				4. If Amendment, Date of Original Filed (Month/Day/Year)					6. Individual or Joint/Group Filing (Check Applicable Line) X Form filed by One Reporting Person Form filed by More than One Reporting Person				
(City)	(State)	(Zip)											
Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned													
1. Title of Security (Instr. 3) 2			2. Transact	tion	2A. Deemed	3.	4. Securities Acquired (A)	or	5. Amount of	6. Ov	vnership	7. Nature of	

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	if any (Month/Day/Year)	Transaction Code (Instr.		4. Securities Acquired (A) or Disposed Of (D) (Instr. 3, 4 and 5)			Securities Beneficially Owned Following	7. Nature of Indirect Beneficial Ownership	
			Code	v	Amount	(A) or (D)	Price	- Reported Transaction(s) (Instr. 3 and 4)	(Instr. 4)	

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities) 1. Title of 3. Transaction 3A. Deemed 5. Number 6. Date Exercisable and 7. Title and Amount 8. Price of 9. Number of 11. Nature 10. 4. Transaction Code (Instr. Expiration Date (Month/Day/Year) Conversion Execution Date. Ownership Derivative Date of of Securities Derivative derivative of Indirect Underlying Derivative Security (Instr. 3 and 4) Form: Direct (D) or Indirect Security (Instr. 3) or Exercise Price of (Month/Day/Year if any (Month/Day/Year) Derivative Security (Instr. 5) Securities Beneficial Securities Ownership (Instr. 4) 8) Beneficially Derivative Owned Security (A) or Following Reported (I) (Instr. 4) Disposed Transaction(s) of (D) (Instr. 3, 4 and 5) (Instr. 4) Amount or Number Expiration Date Exercisable Shares Code v (A) (D) Date Title Class A Performance (1) 03/10/2023 21,057 (2) 12/31/2025 21,057 21,057 D \$<mark>0</mark> Α Commor Share Units Stock Class A Restricted (3) 03/10/2023 (4) (4) 7,019 7,019 \$<mark>0</mark> 7,019 D Α Commor Stock

## **Explanation of Responses:**

1. Each Performance Share Unit ("PSU") represents a contingent right to receive one share of Class A Common Stock, subject to the achievement of applicable performance criteria over a three-year performance period beginning January 1, 2023 and ending on December 31, 2025. The target number of PSUs is reported in Column 5. The number of shares to be earned and issued may be 0%-200% of the target number of PSUs depending on actual performance.

2. Subject to the Reporting Person's continuous employment with the Issuer, the PSUs granted vest on December 31, 2025, but the PSUs will not settle and payout until the number of PSUs earned is determined by the Issuer's Compensation Committee based on the Company's achievement of performance goals.

3. Each Restricted Stock Unit ("RSU") represents a contingent right to receive one share of Class A Common Stock, subject to the Reporting Person's continuous service to the Issuer.

4. Subject to the Reporting Person's continuous service to the Issuer, the RSUs vest pro rata on the first, second, and third anniversaries of the transaction date.

Remarks:

Stock Units

## /s/ Suzanne Hanselman,

Attorney-in-Fact

03/14/2023 Date

\*\* Signature of Reporting Person

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

\* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.